

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/751,680

12/29/2000

Sada L. Reddy

1874-05

CONFIRMATION NO. 9132

FORMALITIES LETTER

OC000000005762978*

Daniel F. Perez Bickel & Brewer 4800 Bank One Center 1717 Main Street Dallas, TX 75201

Date Mailed: 02/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$234.
 - \$234 for 13 total claims over 20.
- · The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

03/16/2001 SDENBOB1 00000029 09751680

• The balance due by applicant is \$ 1074.

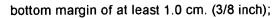
02 FC:103 03 FC:105

710.00 OP 234.00 DP 130.00 OP

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a



A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



35 WEST WACKER DRIVE **SUITE 3830** CAGO, ILLINOIS 60601 (312) 855-9200



BICKEL & BREWER

ATTORNEYS AND COUNSELORS 4800 BANK ONE CENTER 1717 MAIN STREET DALLAS, TEXAS 75201

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FAX: (214) 653-1015

885 THIRD AVENUE SUITE 3040 ORK, NEW YORK 10022 (212) 489-1400

March 14, 2001

VIA EXPRESS MAIL ET100952738US

BOX MISSING PARTS Assistant Commissioner for Patents Washington, DC 20231

> U.S. Patent Application 09/751,680 for "METHOD AND SYSTEM FOR RE: SUPPORTING INVESTMENT AND ELECTRONICALLY FINANCING OPPORTUNITIES FOR INVESTORS AND ENTREPRENEURS"

Filed 12/29/00.

Our File No.: 1874-05

Dear Sir::

Enclosed for filing in connection with the above-referenced patent application please find:

- Response to Notice to File Missing Parts; 1.
- Notice to File Missing Parts of Application; 2.
- Declaration and Power of Attorney;
- Check in the amount of \$1074.00 for response to missing parts;
- Letter to Draftsman; 5.
- Formal Drawings (17 pages); and 6.
- Post Card Receipt. 7.

If you have any questions or comments regarding any of the enclosed, please do not hesitate to contact the undersigned.

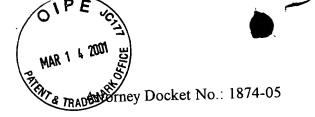
Sincerely

Daniel F. Perez

Registration No.: 33,755

DFP/dgf **Enclosures**

cc: Peter J. Muñiz



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Sada Reddy

Serial No.:

09/751,680

Filed:

December 29, 2000

Art Unit:

2161

For:

METHOD AND SYSTEM FOR ELECTRONICALLY

SUPPORTING INVESTMENT AND VENTURE FINANCING OPPORTUNITIES FOR INVESTORS AND ENTREPRENEURS

Assistant Commissioner for Patents Washington, D.C. 20231

Attn: Official Draftsperson

Dear Sir:

EXPRESS MAIL" mailing label number ET100952738US Date of Deposit: March 14, 2001. I certify that the accompanying Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box New Application; Assistant Commissioner for Patents, Washington, DC 20231.

Donna Frazier

LETTER TO OFFICIAL DRAFTSPERSON

Transmitted herewith is seventeen (17) sheets of formal drawings to be substituted for the informal drawings initially filed in the above-identified application for patent.

Respectfully submitted,

Daniel F. Perez

Reg. No. 33,755

ATTORNEY FOR APPLICANT `

BICKEL & BREWER 4800 Bank One Center 1717 Main St. Dallas, TX 75201 (214) 653-4000

Date: March 14, 2001

134569.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application:

Sada Reddy

Serial No.

09/751,680

Filed:

12/29/2000

"EXPRESS MAIL" mailing label number ET100952738US, Date of Deposit: March 14, 2001. I certify that the above correspondence is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Donna Frazier

For:

METHOD AND SYSTEM FOR ELECTRONICALLY SUPPORTING INVESTMENT AND VENTURE FINANCING OPPORTUNITIES FOR INVESTORS AND ENTREPRENEURS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application mailed February 14, 2001, a copy of which is returned herewith, Applicant respectfully submits this response.

Declaration and Power of Attorney

As required by the Notice of Missing Parts of Application, Applicant hereby encloses an executed Declaration and Power of Attorney.

Required Fees

Submitted herewith is a check for \$1074.00 to cover the basic filing fee, additional claim fee, and the surcharge set forth in 37 C.F.R. 1.16(e). Applicant, therefore, respectfully submits that no additional fees are due in excess of the enclosed check.

Conclusion

Applicant respectfully submits that all required parts for the application have now been filed and that no additional fees are required.

Respectfully submitted,

BICKEL & BREWER

Daniel F. Perez

Registration No. 33,755

ATTORNEY FOR APPLICANT

Dated: March 14, 2001

4800 Bank One Center 1717 Main Street (214) 653-4996 - Telephone (214) 653-1014 - Facsimile

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